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General information about company

Scrip code	511716
NSE Symbol	
MSEI Symbol	
ISIN	INE359A01012
Name of the entity	Escorts Finance Limited
Date of start of financial year	01-04-2019
Date of end of financial year	31-03-2020
Reporting Quarter	Yearly
Date of Report	31-03-2020
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Enter the quarter ended date only

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Annexure 1

Annexure 1 to be submitted by listed entities on quarterly basis
1. Composition of Board of Directors

Disclosure of nature or composition of board of directors explanatory		Add Notes																		
Whether the listed entity has a Regular Chairperson		No																		
Whether Chairperson is related to MD or CEO		No																		
Sr	Title (MD/ CEO)	Name of the Director	PAN	DN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed (Refering 173A of Listing Regulation)	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Term of director (in months)	No of Directorship in listed entities including the listed entity (Refer Regulation 173A of Listing Regulation)	No of Independent Directorship in listed entities including the listed entity (Refer Regulation 173B of Listing Regulation)	Number of memberships in Committees (including the listed entity) (Refer Regulation 173C of Listing Regulation)	No of post of Chairperson in listed entities including the listed entity (Refer Regulation 201 of Listing Regulation)	Notes for non-proceeding PAN	Notes for non-proceeding DIN
1	MD	Rajeev Khanna	AJZCR5046B	08071957	Executive Director	Not Applicable		03-03-1957	Yes		04-06-2019				1	0	2	0		
2	MD	Vinod Dahi	AAPD21293	03187430	Non-Executive, Non-Independent Director	Not Applicable		10-12-1961	Yes		26-07-2019				1	0	1	1		
3	MD	Prasad Chhabra	ABZB134276	06067645	Non-Executive, Independent Director	Not Applicable		10-06-1988	Yes		01-05-2013	26-02-2017		66	1	1	1	0		
4	MD	Suresh Jha	ANJUP16648	07171708	Non-Executive, Independent Director	Not Applicable		18-08-1985	Yes		16-05-2018	28-02-2018		27	1	1	1	0		

Annexure 1
II. Composition of Committees
Disclosure of notes on composition of committees explanatory

For this quarter kindly note the following points:
 1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.
 2. Date of Appointment can be any day upto December 31, 2019.
 3. Date of Cessation must be for the current quarter only, i.e. October 1,2019 to December 31,2019.

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07171298	Sumit Raj	Non-Executive - Independent Director	Chairperson	16-05-2018		
2	06567695	Preeti Chauhan	Non-Executive - Independent Director	Member	06-05-2013		
3	08471497	Rajeev Khanna	Executive Director	Member	04-06-2019		
4							
5							
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07171298	Sumit Raj	Non-Executive - Independent Director	Chairperson	16-05-2018		
2	06567695	Preeti Chauhan	Non-Executive - Independent Director	Member	07-11-2014		
3	01147430	Vinod Dixit	Non-Executive - Non Independent Director	Member	26-07-2019		
4							
5							
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07171298	Sumit Raj	Non-Executive - Independent Director	Chairperson	16-05-2018		
2	06567695	Preeti Chauhan	Non-Executive - Independent Director	Member	12-02-2016		
3	08471497	Rajeev Khanna	Executive Director	Member	04-06-2019		

4							
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Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1							
2							
3							
4							
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Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1	07171298	Sumit Raj	Share Transfer Committee	Non-Executive - Independent Director	Member	

2	06567695	Preeti Chauhan	Share Transfer Committee	Non-Executive - Independent Director	Member	
3	08471497	Rajeev Khanna	Share Transfer Committee	Executive Director	Chairperson	
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Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory

Add Notes

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
<p>Add Delete</p>						
1	30-10-2019			Yes	4	2
2	24-01-2020	85		Yes	4	2

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* to be filled in only for the current quarter meetings

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Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory

[Add Notes](#)

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
Add Delete								
1	Audit Committee	30-10-2019				Yes	3	2
2	Audit Committee Stakeholders Relationship Committee	24-01-2020	85			Yes	3	2
3	Stakeholders Relationship Committee	30-10-2019				Yes	3	2
4	Stakeholders Relationship Committee	24-01-2020	85			Yes	3	2
5	Other Committee	30-10-2019		Share Transfer Committee		Yes	3	2
6	Other Committee	24-01-2020	85	Share Transfer Committee		Yes	3	2

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* to be filled in only for the current quarter meetings

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Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	
Disclosure of notes on related party transactions			Add Notes
Disclosure of notes of material transaction with related party			Add Notes

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Annexure II

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		www.escortsfinance.com
2	Terms and conditions of appointment of independent directors	Yes		www.escortsfinance.com
3	Composition of various committees of board of directors	Yes		www.escortsfinance.com
4	Code of conduct of board of directors and senior management personnel	Yes		www.escortsfinance.com
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.escortsfinance.com
6	Criteria of making payments to non-executive directors	Yes		www.escortsfinance.com
7	Policy on dealing with related party transactions	Yes		www.escortsfinance.com
8	Policy for determining 'material' subsidiaries	NA		
9	Details of familiarization programmes imparted to independent directors	Yes		www.escortsfinance.com
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		www.escortsfinance.com
11	email address for grievance redressal and other relevant details	Yes		www.escortsfinance.com
12	Financial results	Yes		www.escortsfinance.com
13	Shareholding pattern	Yes		www.escortsfinance.com
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	NA		
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	Yes		www.escortsfinance.com
18	Credit rating or revision in credit rating obtained	NA		
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	NA		
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		www.escortsfinance.com
21	Materiality Policy as per Regulation 30	Yes		www.escortsfinance.com
22	Dividend Distribution policy as per Regulation 43A (as applicable)	NA		
23	It is certified that these contents on the website of the listed entity are correct	Yes		www.escortsfinance.com
24	Disclosure of notes on website in terms of Listing Regulations explanatory [Text Block]		Add Notes	

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Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes

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Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	Vicky Chauhan
2	Designation	Company Secretary

Annexure II

II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(i)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
22	Composition and role of risk management committee	21(1),(2),(3),(4)	Yes	
23	Meeting of Risk Management Committee	21(3A)	NA	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
27	Approval for material related party transactions	23(4)	NA	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA	
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	NA	
33	Maximum Tenure	25(2)	Yes	
34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	NA	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
Any other information to be provided				Add Notes

Annexure II

1	Name of signatory	Vicky Chauhan
2	Designation	Company Secretary

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Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
Any other information to be provided		Add Notes

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1	Name of signatory	Vicky Chauhan
2	Designation	Company Secretary

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Signatory Details

Name of signatory	Vicky Chauhan
Designation of person	Company Secretary
Place	Faridabad
Date	15-05-2020

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