

**Format of holding of specified securities**

1. Name of Listed Entity: Escorts Finance Limited
2. Scrip Code/ Name of Scrip/ Class of Security: 511716/ ESCORTSFIN/ Equity
3. Share Holding Pattern Filed under: ~~Reg. 31(1)(a)/~~ Reg. 31(1)(b)/ ~~Reg. 31(1)(c)~~
  - a. If under 31(1)(b) then indicate the report for quarter ending: December 31, 2015
  - ~~b. If under 31(1)(c) then indicate date of allotment/ extinguishment~~
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?	Yes	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/ Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange Website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows: **Attached**

For Escorts Finance Limited


  
**Vicky Chauhan**  
 Company Secretary
 

Date: January 20, 2016

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total as a % of (A+B+ C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg:y								
(A)	Promoter & Promoter Group	7	28003178	0	0	28003178	69.573	28003178	0	28003178	69.573	0	0.000	0	0.000	28003178	
(B)	Public	25296	12093622	153200	0	12246822	30.427	12246822	0	12246822	30.427	0	0.000	NA	NA	10461223	
(C)	Non Promoter- Non Public	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	NA	NA	0	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.000	0	0.000	NA	NA	0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	NA	NA	0	
	<b>Total</b>	<b>25303</b>	<b>40096800</b>	<b>153200</b>	<b>0</b>	<b>40250000</b>	<b>100.000</b>	<b>40250000</b>	<b>0</b>	<b>40250000</b>	<b>100.000</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>38464401</b>	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered(XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class X	ClassY	Total								
<b>(1)</b>	<b>Indian</b>																		
(a)	Individuals/Hindu Undivided Family		4	61000	0	0	61000	0.152	61000	0	61000	0.152	0	0.152	0	0	0	0	61000
	RAJAN NANDA	AAEPN3548A	2	60000	0	0	60000	0.149	60000	0	60000	0.149	0	0.149	0	0	0	0	60000
	NITASHA NANDA	AAEPN3562A	1	900	0	0	900	0.002	900	0	900	0.002	0	0.002	0	0	0	0	900
	RITU NANDA	AAEPN3563B	1	100	0	0	100	0.000	100	0	100	0.000	0	0.000	0	0	0	0	100
(b)	Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0
(d)	Any Others (specify) # BODY CORPORATES/ TRUST		3	27942178	0	0	27942178	69.422	27942178	0	27942178	69.422	0	69.422	0	0	0	0	27942178
	ESCORTS LIMITED	AAACE0074B	1	3819700	0	0	3819700	9.490	3819700	0	3819700	9.490	0	9.490	0	0	0	0	3819700
	ESCORTS SECURITIES LTD.	AAACE2497E	1	625000	0	0	625000	1.553	625000	0	625000	1.553	0	1.553	0	0	0	0	625000
	SURENDRA AMBALAL DAVE, TRUSTEE OF ESCORTS BENEFIT AND WELFARE TRUST	AAATE4511E	1	23497478	0	0	23497478	58.379	23497478	0	23497478	58.379	0	58.379	0	0	0	0	23497478
	Sub-Total(A)(1)		7	28003178	0	0	28003178	69.573	28003178	0	28003178	69.573	0	69.573	0	0	0	0	28003178
<b>(2)</b>	<b>Foreign</b>	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0
(a)	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0
(b)	Government	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0
(c)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		7	28003178	0	0	28003178	69.573	28003178	0	28003178	69.573	0	69.573	0	0	0	0	28003178

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public Shareholder

	Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered(XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (Not applicable)(b)
									Class X	ClassY	Total								
<b>(1)</b>	<b>Institutions</b>																		
(a)	Mutual Fund		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0.000	NA	NA	0	
(b)	Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0.000	NA	NA	0	
(c)	Alternate investment Funds	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0.000	NA	NA	0	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0.000	NA	NA	0	
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0.000	NA	NA	0	
(f)	Financial Institutions/ Banks		2	5000	0	0	5000	0.012	5000	0	5000	0.012	0	0.012	0	0.000	NA	NA	0
(g)	Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0.000	NA	NA	0	
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0.000	NA	NA	0	
(i)	Any Others (specify)	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0.000	NA	NA	0	
	Sub-Total(B)(1)		2	5000	0	0	5000	0.012	5000	0	5000	0.012	0	0.012	0	0	NA	NA	0
(2)	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0.000	NA	NA	0	
	Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0.000	NA	NA	0	
(3)	Non-institutions	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0.000	NA	NA	0	
(a)	Individuals -i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		25040	5777648	153100	0	5930748	14.735	5930748	0	5930748	14.735	0	14.735	0	0.000	NA	NA	4164849
(a)	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		20	1118625	0	0	1118625	2.779	1118625	0	1118625	2.779	0	2.779	0	0.000	NA	NA	1118625
(b)	NBFCs registered with RBI		2	1030	0	0	1030	0.003	1030	0	1030	0.003	0	0.003	0	0.000	NA	NA	1030
(c)	Employee Trusts	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0.000	NA	NA	0	
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0.000	NA	NA	0	
(e)	Any Other (specify) # CORPORATE BODY		212	4758319	100	0	4758419	11.822	4758419	0	4758419	11.822	0	11.822	0	0.000	NA	NA	4743719
	CORPORATE BODY # B R HOLDINGS PRIVATE LIMITED	AAACB2805R	1	2376468	0	0	2376468	5.904	2376468	0	2376468	5.904	0	5.904	0	0.000	NA	NA	2376468
	CORPORATE BODY # ESCORTS SECURITIES LTD.	AAACE2497E	1	1403342	0	0	1403342	3.487	1403342	0	1403342	3.487	0	3.487	0	0.000	NA	NA	1403342
(e)	Any Other (specify) # NRI		20	433000	0	0	433000	1.076	433000	0	433000	1.076	0	1.076	0	0.000	NA	NA	433000
	NRI # DIPTI K SOMAIYA	AWZPS2875A	1	403730	0	0	403730	1.003	403730	0	403730	1.003	0	1.003	0	0.000	NA	NA	403730
	Sub-Total (B)(3)		25294	12088622	153200	0	12241822	30.414	12241822	0	12241822	30.414	0.000	30.414	0.000	0.000	NA	NA	10461223
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		25296	12093622	153200	0	12246822	30.427	12246822	0	12246822	30.427	0	30.427	0	0	NA	NA	10461223

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:

Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

**Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder**

	Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered(XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable)		As a % of total Shares held (Not applicable)(b)
									Class X	Class Y	Total								
(1)	Custodian/DR Holder		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0
	Total Non-Promoter-Non-Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	NA	NA	0

Note

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,